PTO/SB/08a (05-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE or the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

1.5		Keouc	Jon Act of 1995, no pe	rsons are required to respond to a collect	ion of information unless it contains a valid OMB control number.			
	TRADEMAN of for form 1449A/PTO			Complete if Known				
				Application Number	10/659,800			
	INFORMATION DISC	LOS	URE	Filing Date	September 10, 2003			
	STATEMENT BY API	LIC	ANT	First Named Inventor	FARESE JR., ROBERT V.			
				Art Unit	1032 1411			
	(use as many sheets as ne	cessa	n)	Examiner Name				
Sheet	1	of	2	Attorney Docket Number	UCAL-105CIP2CON2			

		·		<u> </u>	PATENT	OCU	MENTS		
Examiner	Cite	Document Nur	nber					Pages, Columns, Lines, Where	•
Initials'	No.1			tion Date		ame of Patentee or	Relevant Passages of Relevan	t	
111111013	110.	Number-Kind Code ² (#	known)	MM-DI	2-YYYY	Applic	ant of Cited Document	Figures Appear	
	$\overline{}$	US-				1			
		us-				 			
		vs-			·····				
		US				-			
		US-				<u> </u>	/		
		us.				<u> </u>			
		US-				↓			
		US-				 			
		US-				 			
		US-	7			ļ			
		US-				 /-			
		US-	\rightarrow						
	_	US-			/	1			
		US-				ļ		· · · · · · · · · · · · · · · · · · ·	
		US-				 		·	
		US-		$\overline{}$					
			-	EODEIG	N DAKEN	TDOO	UMENTS		
		Foreign Par	tent Docume	ot ot	A LAIS	, poc	ONENIS	Pages, Columns, Lines,	Т-
Examiner	Cite	roleighta	ienii Dogame		Publicatio	n Qate	Name of Patentee or	Where Relevant Passages	1
Initials'	No.1		<i></i>		WW-DD-	MAY.	Applicant of Cited Docum		т
		Country Code -Number	-Kind Code	(f known)	 		<u> </u>		╁┈
		 			 				+
		/			-				+
		 			 		\	 	1-
		/	•		 	-	 		+
		 			 		 		+
	-{	 							+
		<u> </u>			 				+
		<u> </u>			 				+-
		<u> </u>						<u> </u>	┺

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Examiner

Date

Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-01)
Approved for use through 04/30/2003. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UCAL-105CIP2CON2

Substitute for form 1449B/PTO

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number 10/659,800

First Named Inventor FARESE JR., ROBERT V.

Group Art Unit 1632

(use as many sheets as necessary)

Attorney Docket Number

Sheet

Signature

2

of

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	τ²
PY		DATABASE EMBL 'Online!, September 24, 1996, mj94f05.rl Soares mouse p3NMF19.5 Mus musculus cDNA clone IMAGE: 483777 5, mRNA sequence" Database accession no. AA061439	
		KWANYUEN P. et al., "Subunit and Amino Acid Composition of Diacylglycerol Acyltransferase from Germinating Soybean Cotyledons" Biochimica et Biophysica ACTA, Amsterdam, NL, Vol. 1039, No. 1, May 31, 1990	
~		KWANYUEN P; WILSON RF: "N-Terminal Sequence of the 40.8 kDa Subunit of Diacylglycerol Acyltransferase from Soybean" Plant Physiology, Vol. 96, No. 1 Suppl., May 1991, pg. 125	
		·	
Examiner		Date CICCU	<u> </u>

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of Information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Considered

Approved for use through 04/30/2003, OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

kitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of

Complete if Known					
Application Number	10/659,800				
Filing Date	September 10, 2003				
First Named Inventor	FARESE JR., ROBERT V.				
Art Unit	1032 1631				
Examiner Name	To Be Assigned				
Attorney Docket Number	UCAL-105CIP2CON2				

						<u>J.S. P</u>	ATENT !	OCU	MENTS	3		,		
Examiner Initials'	Cite No.1		ment Numbe				on Date		ame of F				Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-		T		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		T. DEPT.	AUL OL O	1100 0	ANITISTIT "		THURES ADDOOR	
		USN	***************************************	 	·		~~~~~	1						
		US-												
		US-	_				···	1						-
		US-										 		
		US-												
		US-						1		/				
		US-												
		US-				$\overline{}$							/	
		US-			I	1		K					/	
		US-		<u> </u>		L.,		1	1	Δ			/	
		US-		<u> </u>										
		US-				L		<u> </u>	1			L_/_		
		US-		ļ				$\perp \lambda$	$oldsymbol{\sqcup}$		7	1/_		
		US-						<u> </u>	1	\		L <i>I</i>		
		US-	\		\angle		1			1		1/		
	·····		····	$\backslash \angle$					1	1				
				<u> </u>	_FO	REIG	N PATEN	T DO	UME	<u> , zity</u>			· · · · · · · · · · · · · · · · · · ·	,
Examiner Initials'	Cite No.1		preign Paten	$\overline{}$		-	Publicatio	n Date			atentee		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	_
		Country Cod	Number K	ind Code 5	(if know	m)		_/_	пррис	3111 07 0		on on	or relevant rigures repress	<u> </u>
			1									7		<u> </u>
			-						 					├—
		/_		· '	$\overline{}$				ļ					├-
	<u> </u>	/		~	- /				 					-
	/								 					-
	/								 					├
	/								 		····			╁
/									 					├
									 					├
									 					1
									 					+-
														L
Examiner	/b	,									Date		MIN	-
	T///	١.									ひのは		\	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/S8/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	o for form 1449B/PTO	_				C	Complete if Known
						Application Number	10/659,800
	INFORMATION DISC	LOS	JRE			Filing Date	September 10, 2003
	STATEMENT BY APP	PLICA	NT			First Named Inventor	FARESE JR., ROBERT V.
						Group Art Unit	1632
	(use as many sheets as ne	cessar	ν)			Examiner Name	
Sheet	2	of		2	•	Attorney Docket Number	UCAL-105CIP2CON2

		OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	٣
P°		FARESE, FV JR., "Acyl CoA: cholesterol acyltransferase genes and knockout mice" Current Opinion in Lipidology, Vol. 9, No. 2, April 1998, pgs. 119-123	
		FRENTZEN M. "Acyltransferases from basic science to modified seed oils", Felt- Lipid, Wiley – VCH Verlag, Weinham, DE, Vol. 100, No. 4/5, May 1998, pgs. 161-166	
		WiLSON, R. F. et al., "Recent Developments in the molecular biochemistry and genetics of diacyglycerol acystransferase from soybean" Seed Oils for the Future, AOCS press, Champaign, IL, US, 1992, pgs. 116-135	
		TABATA N. et al., "Xanthohumols diacylglycerol acyltransferase inhibitos, from Humulus Lupulus", Phytochemistry, Pergamon Press, GB, Vol. 46, No. 4, October 1997, pgs. 683-687	
		ZOU et al., 'The Arabidopsis thaliana TAG1 mutant has a mutation in a diacylglycerol acyltransferase gene', Plant Journal, Blackwell Scientific Publications, Oxford GB, Vol. 19, No. 6, September 1999, pgs. 645-653	
		SMITH S J et al., "Obesity resistance and multiple mechanisms of trigtyceride synthesis in mice lacking Dgat", Nature America, New York, US, Vol. 25, No. 1, May 2000, pgs. 87-90	
		CHEN HC et al., "Increased insulin and leptin sensitivity in mice tacking acyl CoA: diacytyglycerol acyltransferase I "The Journal of Clinical Investigation" Vol. 109, No. 8, April 2002, pgs. 1049-1055	
6~		BUHMAN KIMBERLY K. et al., DGAT1 is not essential for intestinal traicyglycerol absorption or chlomicron synthesis* The Journal of Biological Chemistry*, U.S. July 12, 2002, Vol. 277, No. 28, July 12, 2002, pgs. 25474-25479	
···········			
			

Examiner 1	Date	111 -M	
Signature PA 14	Considered)] [[] [

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

210/02

INFORMATION DISC: :URE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICAN

ATTY. DOCKET: SERIAL NO.:
UCAL105CIP2 Lineasigned

APPLICANT:
Robert V. Farese, Jr. et al.

FILING DATE Herewith GROUP Unassigned 1615

									·· <u>·</u> ·		
					U.S. PATEN	T D	OCUMENTS				
EXAMINER PATENT NUMBER DATE PATENTER CLASS SYNCIASS									1	DATE F PRIATE	
				FOREIGN PATE	NT OR PUBLISHI	ED F	OREIGN PATENT	APPLICAT	TION		
			DOC	UMENT NUMBER	PUBLICATION DATE	I	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANS YES	LATION NO
от	HER DO	OCUN	MENTS	G (including Author, T	litle, Date, Place o	f Pu	blication)				
1	ph /	AA-1		sson, Maria, et al., "Puri ch (1994) <u>Vol. 35</u> :535-54		erol:	Acyltransferase From Ra	at Liver To N	lear Homogeneit	y," Journal	of Lipid
	1	AB-1		Sylvaine, et al., *Cloning 120, 1998) Vol. 12, No. (A Car	ndidate Gene For Diacyg	lycerol Acyl	transferase," The	FASEB Jo	urnal
	A	.C-1	Cases, Triacy	Sylvaine, et al., "Identifi Iglycerol Synthesis", Proc	cation of a Gene Enco	oding (Oc	an Acyl COA: diacylgy tober 1998), Vol. 95:130	lcerol Acyltr 18-13023	anferase, a Kcy I	Enzyme in	
		AD-1		aka, Yasushi, et al., "Pur aginous Fungus," J. Bioca				cyltransferas	e From The Lipi	d Body Fra	ction Of
П	A	Æ-1	Katav Arabio	ric, V. et al., "Alterati dopsis thaliana Affectir	on of Seed Fatty Ac ng Diacylglycerol A	cid C	Composition By An Et ransferase Activity," I	hyl Methan Plant Physic	esulfonate-Indu ology (1995), V	ice Mutati ol. 108:3	on in 99-409
		AF-1		r, Richard, et al., "Triacy ical Chemistry (June 9, 19				nthetase Of I	Rat Intestinal Mu	cosa,* The	Journal of
		AG-1	Little, Oilsee	Dawn, et al., "Solubiliza d Rape," Biochem. J. (199	tion And Characteriza 4) <u>Vol. 304</u> :951-958.	tion (Of Diacylglycerol Acylu	ransferase Fr	om Microspore-I	Derived Cu	ltures Of
	AH-1 Newman, T., et al., "Genes Galore: A Summary Of Methods For Accessing Results From Large-Scale Partial Sequencing Of Anonymous Arabidopsis cDNA Clones," Plant Physiol. (Dec. 1994) Vol. 106, No. (4):1241-1255.							Of			
		Al-I	Zou, J Expres	itao, et al., "Cloning Of A	A cDNA Encoding The Ve In Diacylglycerol A	e 21. Acylt	2 kDa Oleosin Isoform F ransferase Activity ¹ ,* Pla	rom Arabida anı Molecula	psis Thaliana A er Biology (1996)	nd A Study Vol. 31:42	Of Its 19-433.
	V A	J-1	Genba	ank Accession No. AA	C63997, 15 Octobe	r 19	98				
p	AK-1 Genbank Accession No. AF059202, 15 October 1998										

-	EXAMINER D	DATE CONSIDERED	रावणा
	EXAMINER: Initial citation considered. Draw line through citation if not in con	formance and not considered.	Include copy of this form with next
į	communication to applicant.		

INFORMATION DISC SURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

	ATTY. DOCKE's J.: UCAL105CIP2	SERIAL NO.: Unassigned
į	APPLICANT: Robert V. Farese, Jr. et al.	
ı	FILING DATE Herewith	GROUP . Unassigned 635

D/V	AL-1	C.A. Kappel, Current Opinion in Biotechnology, "Regulating gene expression in transgenic animal," 1992, 3 pp. 548-553
	AM-1	J.J. Mullins et al. Hypertension, "Transgenesis in Nonmurine Species," 1993, 22, pp.630-633
	AN-I	L.M. Houdebine, Journal of Biotechnology, "Production of pharmaceutical proteins from transgenic animals," 1994, 34 pp. 269-287
	AO-1	R.J. Wall, Theriogenology, "Transgenic Livestock" Progress and Prospects for the Future," 1996, 45 pp. 57-68
	AP-1	L.J. Mullins et al. Centre for Genome Research, "Perspectives Series: Molecular Medicine in Genetically Engineered Animals", 1996, Vol. 98, No. 11, pp. S37-40
	AQ-I	E.R. Cameron, Molecular Biotechnology, Humana Press Inc., "Recent advances in Transgenic Technology," 1997, Vol. 7, pp. 253-265
	AR-1	H. Niemann, Transgenic Research, "Transgenic farm animals get off the ground," 1998, 7, pp. 73-75
	AS-1	C.D. Sigmund, Arterioscler Thromb Vasc Biol., "Viewpoint: Are Studies in Genetically Altered Mice Out of Control?" 2000, 20, pp. 1425-1429
	AT-1	Jean Richa, Production of Transgenic Mice, Methods in Molecular Biology, Vol. 136: Developmental Biology Protocols, Vol. 11. Edited By R.S. Tuan and C.W. Lo, Human Press Inc., Totowa, N.J.
8	AU-1	T. Rulicke et al. "Germ line transformation of mammals by pronuclear microinjection". Experimental Physiology, (2000) 85.6, 589-601

F:\DOCUMENT\UCAL\105CIP2\PTO-1449 FORM.DOC (Rev. 10/12/97)

Disclosure Form (PTO-1449).

EXAMINER ()	DATE CONSIDERED S/4/06
1 -	Draw line through citation if not in conformance and not considered. Include copy of this form with next

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ONLY OF The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10659800	
NICONATION DIGGLOSURE	Filing Date		2003-09-10	
INFORMATION DISCLOSURE	First Named Inventor F		Farese	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1652	
(Not 10) Submission under 07 Of R 1.50)	Examiner Name	Hutso	on, Richard	
	Attorney Docket Numb	er	UCAL-105CIP2CON2	

U.S.PATENTS						Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
вw	1	6607893		2003-08-19	Warner-Lambert Company			
в₩	2	6552250		2003-04-22	Nykiforuk et al.			
вพ	3	7015373		2006-03-21	Zou et al.			
BW	4	6100077		1998-10-01	Sturiey et al.			
If you wisl	h to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	Add		
			U.S.P	ATENT APPLI	CATION PUBLICATIONS	Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
BW	1	20060090222		2006-27-04	Zou et al.			
BW	2	20050193446		2005-09-01	Zou et al.			
If you wis	h to ac	dd additional U.S. Publis	hed Ap	plication citation	n information please click the Add			
				FOREIGN PAT	TENT DOCUMENTS	Remove		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10659800 Filing Date 2003-09-10 First Named Inventor Farese Art Unit 1652 Examiner Name Hutson, Richard Attorney Docket Number UCAL-105CIP2CON2

Examiner Initial*	Cite No	Foreig Numb	gn Document per ³	Country Code ² j	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T 5
	1								
If you wis	h to ac	bbs bk	itional Foreign Pa	atent Document	citation	information pl	ease click the Add buttor	Add	
	NON-PATENT LITERATURE DOCUMENTS Remove								
Examiner Initials*	Cite No	((book magazine journal serial symposium catalog etc) date bages(s), volume-issue number(s),							
	1								
If you wis	h to ac	dd add	itional non-paten	t literature docur	ment cit	tation informati	ion please click the Add t	button Add	
				EX	AMINE	R SIGNATUR	E		
Examiner Signature /Brian Whiteman/							Date Considered	06/09/2006	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
Standard ST 4 Kind of doo	T.3). ³ F cument	For Japa by the a	nese patent docume	nts, the indication of	the year	of the reign of the	or office that issued the docume Emperor must precede the sel dard ST.16 if possible. ⁵ Applic	rial number of the patent docu	ument